

## **Fee Schedule Disclosure**

Brokerage Fees My IPO sign up is free and there are no associated membership fees to maintain an account. You are not charged any fees when you purchase Offers and invest.	For publicly traded securities there is a \$10 trade fee charged if you enter trade online or 1% of trade value, plus \$10 commission if you call our trade desk to enter trade, should you buy shares in the open market (outside of an offering) or sell shares in the future, plus we pass along regulatory fees such as SEC and TAF fees as part of the "Commission/Service Fees". The SEC and TAF fees or "Service Fees" are very minimal charges.	
IRA Custodial Fee For each IRA custodial account - we will apply this fee annually.	\$45 per year	
IRA Termination Fee	\$60.00	
Wire Transfers Out (domestic) Wiring cash from us to another financial institution. There is no charge for incoming wires.		\$25 per wire
Wire Transfers Out (international) Wiring cash from us to another financial institution. There is no charge for incoming wires.		\$50 per wire
ACH Transfer (any)		Free
Check- Outgoing (domestic)		\$5.00
Check- Outgoing (international)		\$10.00
Stop Payment (issued check)		\$30.00
Returned Check/ACH/Wire		\$30.00
Full or Partial Account Transfer Out Fee (ACATs) Applied when you transfer your account to another brokerage firm. There is no charge for incoming ACAT.		\$75 per account
DTC Fee Applied when you transfer some of the securities (e.g., stocks) in your account to another brokerage without selling the securities.		\$25 per security
DWAC/DRS Fee Applied when you transfer securities from a transfer agent into your account.		\$125.00
Electronic Statements, Confirms and Tax Documents		Free
Paper Statement (per month)		\$5
Paper Trade Confirmation (per confirm)		\$2

Statements- Archived Copies (2 years or older)	\$25.00 (1-3 months) \$100.00 (full year)
Overnight Delivery	\$50.00 (domestic) \$100.00 (international)
Physical Certificate Custody We will apply this fee annually.	\$300 per security
Physical Certificate Issue	\$350.00 per issue
Voluntary Corporate Action Fee applied per action when you participate in a voluntary tender offer.	\$50.00 (domestic) \$100.00 (international)
Involuntary Reorgs/Mandatory Corporate Includes forward and reverse splits.	\$25.00 per corporate action or reorg
Reg T Extensions	\$20.00
Escheatment Account Fee	\$75.00